



**EKADRISHT CAPITAL PRIVATE LIMITED**  
**MERCHANT BANKER (CATEGORY 1) – SEBI REGISTERED**  
**CIN: U66190MH2023PTC401863 | SEBI Reg. No. INM000013040**  
**Registered Office: 406, Summit Business Park, Chakala, Andheri Kurla Road,**  
**Andheri East, Mumbai 400 093, Maharashtra, India.**  
**Tel no.: +91 89286 31037 | Email: [info@ekadrisht.com](mailto:info@ekadrisht.com) | Website: [www.ekadrisht.com](http://www.ekadrisht.com)**

**INVESTOR COMPLAINTS DATA**

**1. SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS**

**A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2024**

Sr. No.	Received From	Pending as on the last month ending October 31, 2024	Received during the month ending November 30, 2024*	Resolved during the month ending November 30, 2024*	Total pending during the month ending on November 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors						
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	<b>Grand Total</b>				Nil		

**B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS**

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2024				
2.	August 2024			Nil	
3.	September 2024			Nil	
4.	October 2024			Nil	
5.	November 2024			Nil	

**C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS**

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2024	Nil	Nil	Nil	Nil
2.	2025@			Not Applicable	
3.	2026@			Not Applicable	
4.	2027@			Not Applicable	
5.	2028@			Not Applicable	

Notes:

\*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

@Relevant month/ year has not yet completed.







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**2. SMALL AND MID-SIZE ENTERPRISES INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)**

**A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2024\*\***

Sr. No.	Received From	Pending as on the last month ending October 31, 2024	Received during the month ending November 30, 2024*	Resolved during the month ending November 30, 2024*	Total pending during the month ending on November 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	<b>Grand Total</b>				Nil		

**B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS**

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2024			Nil	
2.	August 2024			Nil	
3.	September 2024			Nil	
4.	October 2024			Nil	
5.	November 2024			Nil	

**C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS**

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2024				
2.	2025@			Nil	
3.	2026@			Not Applicable	
4.	2027@			Not Applicable	
5.	2028@			Not Applicable	

Notes:

\*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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\*\* The grievances received during the month through email and telephone were replied in due course.







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**3. RIGHTS ISSUE**

**A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2024**

Sr. No.	Received From	Pending as on the last month ending October 31, 2024	Received during the month ending November 30, 2024*	Resolved during the month ending November 30, 2024*	Total pending during the month ending on November 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	<b>Grand Total</b>			Nil			

**B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS**

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2024			Nil	
2.	August 2024			Nil	
3.	September 2024			Nil	
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5.	November 2024			Nil	

**C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS**

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2024			Nil	
2.	2025@			Not Applicable	
3.	2026@			Not Applicable	
4.	2027@			Not Applicable	
5.	2028@			Not Applicable	

Notes:

\*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

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**4. QUALIFIED INSTITUTIONS PLACEMENT (QIPS)**

**A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2024**

Sr. No.	Received From	Pending as on the last month ending October 31, 2024	Received during the month ending November 30, 2024*	Resolved during the month ending November 30, 2024*	Total pending during the month ending on November 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors						
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	<b>Grand Total</b>				Nil		

**B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS**

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2024				
2.	August 2024			Nil	
3.	September 2024			Nil	
4.	October 2024			Nil	
5.	November 2024			Nil	

**C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS**

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year
1.	2024				
2.	2025@			Nil	
3.	2026@			Not Applicable	
4.	2027@			Not Applicable	
5.	2028@			Not Applicable	

Notes:

\*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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**5. PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES**

**A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2024**

Sr. No.	Received From	Pending as on the last month ending October 31, 2024	Received during the month ending November 30, 2024*	Resolved during the month ending November 30, 2024*	Total pending during the month ending on November 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors						
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	<b>Grand Total</b>				Nil		

**B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS**

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2024				
2.	August 2024			Nil	
3.	September 2024			Nil	
4.	October 2024			Nil	
5.	November 2024			Nil	

**C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS**

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2024				
2.	2025@			Nil	
3.	2026@			Not Applicable	
4.	2027@			Not Applicable	
5.	2028@			Not Applicable	

Notes:

\*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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**6. PUBLIC ISSUE OF DEBT SECURITIES**

**A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2024**

Sr. No.	Received From	Pending as on the last month ending October 31, 2024	Received during the month ending November 30, 2024*	Resolved during the month ending November 30, 2024*	Total pending during the month ending on November 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors						
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	<b>Grand Total</b>				Nil		

**B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS**

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2024				
2.	August 2024			Nil	
3.	September 2024			Nil	
4.	October 2024			Nil	
5.	November 2024			Nil	

**C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS**

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year
1.	2024				
2.	2025@			Nil	
3.	2026@			Not Applicable	
4.	2027@			Not Applicable	
5.	2028@			Not Applicable	

Notes:

\*Inclusive of complaints of previous months resolved in the current month;

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**7. PRIVATE PLACEMENT OF NON-CONVERTIBLE SECURITIES**

**A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2024**

Sr. No.	Received From	Pending as on the last month ending October 31, 2024	Received during the month ending November 30, 2024*	Resolved during the month ending November 30, 2024*	Total pending during the month ending on A November 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors						
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	<b>Grand Total</b>				Nil		

**B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS**

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2024				
2.	August 2024			Nil	
3.	September 2024			Nil	
4.	October 2024			Nil	
5.	November 2024			Nil	

**C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS**

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2024				
2.	2025@			Nil	
3.	2026@			Not Applicable	
4.	2027@			Not Applicable	
5.	2028@			Not Applicable	

Notes:

\*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

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**8. PREFERENTIAL ISSUE**

**A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2024**

Sr. No.	Received From	Pending as on the last month ending October 31, 2024	Received during the month ending November 30, 2024*	Resolved during the month ending November 30, 2024*	Total pending during the month ending on November 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors						
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	<b>Grand Total</b>			Nil			

**B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS**

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2024				
2.	August 2024			Nil	
3.	September 2024			Nil	
4.	October 2024			Nil	
5.	November 2024			Nil	

**C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS**

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2024				
2.	2025@			Nil	
3.	2026@			Not Applicable	
4.	2027@			Not Applicable	
5.	2028@			Not Applicable	

Notes:

- \*Inclusive of complaints of previous months resolved in the current month;
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**9. INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)**

**A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2024**

Sr. No.	Received From	Pending as on the last month ending October 31, 2024	Received during the month ending November 30, 2024*	Resolved during the month ending November 30, 2024*	Total pending during the month ending on November 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors						
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	<b>Grand Total</b>			Nil			

**B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS**

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2024			Nil	
2.	August 2024			Nil	
3.	September 2024			Nil	
4.	October 2024			Nil	
5.	November 2024			Nil	

**C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS**

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year
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Notes:

\*Inclusive of complaints of previous months resolved in the current month;

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**10. DELISTING OF EQUITY SHARES**

**A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2024**

Sr. No.	Received From	Pending as on the last month ending October 31, 2024	Received during the month ending November 30, 2024*	Resolved during the month ending November 30, 2024*	Total pending during the month ending on November 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	<b>Grand Total</b>				Nil		

**B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS**

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2024				
2.	August 2024			Nil	
3.	September 2024			Nil	
4.	October 2024			Nil	
5.	November 2024			Nil	

**C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS**

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2024				
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Notes:

\*Inclusive of complaints of previous months resolved in the current month;

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11. BUYBACK OF SECURITIES							
A. DATA FOR THE MONTH ENDING NOVEMBER 30,2024							
Sr. No.	Received From	Pending as on the last month ending October 31, 2024	Received during the month ending November 30, 2024*	Resolved during the month ending November 30, 2024*	Total pending during the month ending on November 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	<b>Grand Total</b>			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	July 2024			Nil			
2.	August 2024			Nil			
3.	September 2024			Nil			
4.	October 2024			Nil			
5.	November 2024			Nil			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year		
1.	2024			Nil			
2.	2025@			Not Applicable			
3.	2026@			Not Applicable			
4.	2027@			Not Applicable			
5.	2028@			Not Applicable			

Notes:

\*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

@Relevant month/ year has not yet completed.

For Ekadrisht Capital Private Limited



**Sakshi Manhas**  
 Company Secretary and Compliance Officer  
 (Membership No.: A70350)