



EKADRISHT CAPITAL PRIVATE LIMITED

MERCHANT BANKER (CATEGORY 1) – SEBI REGISTERED

CIN – U66190MH2023PTC401863 SEBI Reg. No. INM000013040

1102, Summit Business Park, Chakala, Andheri Kurla Rd, Andheri E, Mumbai 400093

Tel - 022 - 26830300 Email - info@ekadrisht.com Website - www.ekadrisht.com

INVESTOR COMPLAINTS DATA

I. SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023

Sr. No.	Received From	Pending as on the last month ending August 31, 2023**	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	Total pending during the month ending on September 30, 2023 [#]	Pending complaints for more than one month [^]	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month [^]
1.	September, 2023 [@]			Nil	
2.	October, 2023 [@]			Not Applicable	
3.	November 2023 [@]			Not Applicable	
4.	December 2023 [@]			Not Applicable	
5.	January 2024 [@]			Not Applicable	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2023	Nil	Nil	Nil	Nil
2.	2024 [@]			Not Applicable	
3.	2025 [@]			Not Applicable	
4.	2026 [@]			Not Applicable	
5.	2027 [@]			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month.

**Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023;

#Inclusive of complaints pending as on the last day of the month;

[^]Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month.

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2. SMALL AND MID-SIZE ENTERPRISES INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)							
A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023							
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1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
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C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
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2.	2024@				Not Applicable		
3.	2025@				Not Applicable		
4.	2026@				Not Applicable		
5.	2027@				Not Applicable		

Notes:

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3. RIGHTS ISSUE

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023

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1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month [^]
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2.	October, 2023 [@]			Not Applicable	
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C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

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Notes:

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4. QUALIFIED INSTITUTIONS PLACEMENT (QIPS)

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023

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1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
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2.	October, 2023@			Not Applicable	
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C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
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Notes:

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5. PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023

Sr. No.	Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	Total pending during the month ending on September 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
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2.	October, 2023@			Not Applicable	
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C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
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Notes:

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6. PUBLIC ISSUE OF DEBT SECURITIES

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023							
Sr. No.	Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	Total pending during the month ending on September 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	September, 2023@				Nil		
2.	October, 2023@				Not Applicable		
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C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
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Notes:

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7. PRIVATE PLACEMENT NON-CONVERTIBLE SECURITIES

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023

Sr. No.	Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	Total pending during the month ending on September 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	September, 2023@			Nil	
2.	October, 2023@			Not Applicable	
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C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
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Notes:

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**Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023;

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8. PREFERENTIAL ISSUE

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023

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1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
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C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
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Notes:

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9. INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023

Sr. No.	Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	Total pending during the month ending on September 30, 2023 [#]	Pending complaints for more than one month [^]	Average Resolution Time (In days)
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2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month [^]
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Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
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[Handwritten signature]



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10. DELISTING OF EQUITY SHARES

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023							
Sr. No.	Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	Total pending during the month ending on September 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
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2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
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B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
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Notes:

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11. BUYBACK OF SECURITIES

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023							
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3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
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For Ekadrisht Capital Private Limited

Sakshi Manhas
Company Secretary and Compliance Officer
(Membership No.: A70350)

