



EKADRISHT CAPITAL PRIVATE LIMITED
 MERCHANT BANKER (CATEGORY 1) – SEBI REGISTERED
 CIN: U66190MH2023PTC401863 | SEBI Reg. No. INM000013040
 Registered Office: 406, Summit Business Park, Chakala, Andheri Kurla Road,
 Andheri East, Mumbai 400 093, Maharashtra, India.
 Tel no.: +91 89286 31037 | Email: info@ekadrisht.com | Website: www.ekadrisht.com

INVESTOR COMPLAINTS DATA

1. SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS							
A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2024							
Sr. No.	Received From	Pending as on the last month ending August 31, 2024	Received during the month ending September 30, 2024*	Resolved during the month ending September 30, 2024*	Total pending during the month ending on September 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	May 2024				Nil		
2.	June 2024				Nil		
3.	July 2024				Nil		
4.	August 2024				Nil		
5.	September 2024				Nil		
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023	Nil	Nil	Nil	Nil		
2.	2024@	Nil	Nil	Nil	Nil		
3.	2025@				Not Applicable		
4.	2026@				Not Applicable		
5.	2027@				Not Applicable		

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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2. SMALL AND MID-SIZE ENTERPRISES INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)							
A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2024							
Sr. No.	Received From	Pending as on the last month ending August 31, 2024	Received during the month ending September 30, 2024*	Resolved during the month ending September 30, 2024*	Total pending during the month ending on September 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	May 2024			Nil			
2.	June 2024			Nil			
3.	July 2024			Nil			
4.	August 2024			Nil			
5.	September 2024			Nil			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023			Nil			
2.	2024@			Nil			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
5.	2027@			Not Applicable			

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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3. RIGHTS ISSUE

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2024							
Sr. No.	Received From	Pending as on the last month ending August 31, 2024	Received during the month ending September 30, 2024*	Resolved during the month ending September 30, 2024*	Total pending during the month ending on September 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	May 2024			Nil			
2.	June 2024			Nil			
3.	July 2024			Nil			
4.	August 2024			Nil			
5.	September 2024			Nil			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023			Nil			
2.	2024@			Nil			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
5.	2027@			Not Applicable			

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

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4. QUALIFIED INSTITUTIONS PLACEMENT (QIPS)

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2024

Sr. No.	Received From	Pending as on the last month ending August 31, 2024	Received during the month ending September 30, 2024*	Resolved during the month ending September 30, 2024*	Total pending during the month ending on September 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	May 2024				Nil
2.	June 2024				Nil
3.	July 2024				Nil
4.	August 2024				Nil
5.	September 2024				Nil

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2023				Nil
2.	2024 [@]				Nil
3.	2025 [@]				Not Applicable
4.	2026 [@]				Not Applicable
5.	2027 [@]				Not Applicable

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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5. PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2024							
Sr. No.	Received From	Pending as on the last month ending August 31, 2024	Received during the month ending September 30, 2024*	Resolved during the month ending September 30, 2024*	Total pending during the month ending on September 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	May 2024			Nil			
2.	June 2024			Nil			
3.	July 2024			Nil			
4.	August 2024			Nil			
5.	September 2024			Nil			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023			Nil			
2.	2024@			Nil			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
5.	2027@			Not Applicable			

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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6. PUBLIC ISSUE OF DEBT SECURITIES

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2024							
Sr. No.	Received From	Pending as on the last month ending August 31, 2024	Received during the month ending September 30, 2024*	Resolved during the month ending September 30, 2024*	Total pending during the month ending on September 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	May 2024			Nil			
2.	June 2024			Nil			
3.	July 2024			Nil			
4.	August 2024			Nil			
5.	September 2024			Nil			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023			Nil			
2.	2024@			Nil			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
5.	2027@			Not Applicable			

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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7. PRIVATE PLACEMENT OF NON-CONVERTIBLE SECURITIES

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2024							
Sr. No.	Received From	Pending as on the last month ending August 31, 2024	Received during the month ending September 30, 2024*	Resolved during the month ending September 30, 2024*	Total pending during the month ending on September 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	May 2024				Nil		
2.	June 2024				Nil		
3.	July 2024				Nil		
4.	August 2024				Nil		
5.	September 2024				Nil		
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023				Nil		
2.	2024@				Nil		
3.	2025@				Not Applicable		
4.	2026@				Not Applicable		
5.	2027@				Not Applicable		

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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8. PREFERENTIAL ISSUE

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2024							
Sr. No.	Received From	Pending as on the last month ending August 31, 2024	Received during the month ending September 30, 2024*	Resolved during the month ending September 30, 2024*	Total pending during the month ending on September 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	May 2024			Nil			
2.	June 2024			Nil			
3.	July 2024			Nil			
4.	August 2024			Nil			
5.	September 2024			Nil			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023			Nil			
2.	2024@			Nil			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
5.	2027@			Not Applicable			

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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9. INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)							
A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2024							
Sr. No.	Received From	Pending as on the last month ending August 31, 2024	Received during the month ending September 30, 2024*	Resolved during the month ending September 30, 2024*	Total pending during the month ending on September 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	May 2024			Nil			
2.	June 2024			Nil			
3.	July 2024			Nil			
4.	August 2024			Nil			
5.	September 2024						
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023			Nil			
2.	2024@			Nil			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
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Notes:

*Inclusive of complaints of previous months resolved in the current month;

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^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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10. DELISTING OF EQUITY SHARES

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2024							
Sr. No.	Received From	Pending as on the last month ending August 31, 2024	Received during the month ending September 30, 2024*	Resolved during the month ending September 30, 2024*	Total pending during the month ending on September 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	May 2024			Nil			
2.	June 2024			Nil			
3.	July 2024			Nil			
4.	August 2024			Nil			
5.	September 2024			Nil			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023			Nil			
2.	2024@			Nil			
3.	2025@			Not Applicable			
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Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

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11. BUYBACK OF SECURITIES

A. DATA FOR THE MONTH ENDING SEPTEMBER 30, 2024							
Sr. No.	Received From	Pending as on the last month ending August 31, 2024	Received during the month ending September 30, 2024*	Resolved during the month ending September 30, 2024*	Total pending during the month ending on September 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	May 2024			Nil			
2.	June 2024			Nil			
3.	July 2024			Nil			
4.	August 2024			Nil			
5.	September 2024			Nil			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023			Nil			
2.	2024@			Nil			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
5.	2027@			Not Applicable			

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

@Relevant month/ year has not yet completed.

For Ekadrish Capital Private Limited

Sakshi Mahas

Company Secretary and Compliance Officer
 (Membership No.: A70350)

