



EKADRISHT CAPITAL PRIVATE LIMITED

MERCHANT BANKER (CATEGORY 1) – SEBI REGISTERED

CIN – U66190MH2023PTC401863 | **SEBI Reg. No.** INM000013040

Registered Office: 1102, Summit Business Park, Chakala, Andheri Kurla Rd, Andheri East, Mumbai 400 093, Maharashtra, India

Tel No.: +91 89286 31037 | **Email :** info@ekadrisht.com | **Website :** www.ekadrisht.com

INVESTOR COMPLAINTS DATA

1. SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS

A. DATA FOR THE MONTH ENDING APRIL 30, 2024

Sr. No.	Received From	Pending as on the last month ending March 31, 2024	Received during the month ending April 30, 2024*	Resolved during the month ending April 30, 2024*	Total pending during the month ending on April 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	December 2023			Nil	
2.	January 2024			Nil	
3.	February 2024			Nil	
4.	March 2024			Nil	
5.	April 2024			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2023	Nil	Nil	Nil	Nil
2.	2024@	Nil	Nil	Nil	Nil
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

@Relevant month/ year has not yet completed.





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2. SMALL AND MID-SIZE ENTERPRISES INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)

A. DATA FOR THE MONTH ENDING APRIL 30, 2024

Sr. No.	Received From	Pending as on the last month ending March 31, 2024	Received during the month ending April 30, 2024*	Resolved during the month ending April 30, 2024*	Total pending during the month ending on April 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	December 2023			Nil	
2.	January 2024			Nil	
3.	February 2024			Nil	
4.	March 2024			Nil	
5.	April 2024			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024@			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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3. RIGHTS ISSUE

A. DATA FOR THE MONTH ENDING APRIL 30, 2024

Sr. No.	Received From	Pending as on the last month ending March 31, 2024	Received during the month ending April 30, 2024*	Resolved during the month ending April 30, 2024*	Total pending during the month ending on April 30, 2024*	Pending complaints for more than one month [^]	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month [^]
1.	December 2023			Nil	
2.	January 2024			Nil	
3.	February 2024			Nil	
4.	March 2024			Nil	
5.	April 2024			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024 [@]			Nil	
3.	2025 [@]			Not Applicable	
4.	2026 [@]			Not Applicable	
5.	2027 [@]			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

[^]Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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4. QUALIFIED INSTITUTIONS PLACEMENT (QIPS)

A. DATA FOR THE MONTH ENDING APRIL 30, 2024

Sr. No.	Received From	Pending as on the last month ending March 31, 2024	Received during the month ending April 30, 2024*	Resolved during the month ending April 30, 2024*	Total pending during the month ending on April 30, 2024*	Pending complaints for more than one month [^]	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month [^]
1.	December 2023			Nil	
2.	January 2024			Nil	
3.	February 2024			Nil	
4.	March 2024			Nil	
5.	April 2024			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024@			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

[^]Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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5. PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES

A. DATA FOR THE MONTH ENDING APRIL 30, 2024

Sr. No.	Received From	Pending as on the last month ending March 31, 2024	Received during the month ending April 30, 2024*	Resolved during the month ending April 30, 2024*	Total pending during the month ending on April 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	December 2023			Nil	
2.	January 2024			Nil	
3.	February 2024			Nil	
4.	March 2024			Nil	
5.	April 2024			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024@			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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6. PUBLIC ISSUE OF DEBT SECURITIES

A. DATA FOR THE MONTH ENDING APRIL 30, 2024

Sr. No.	Received From	Pending as on the last month ending March 31, 2024	Received during the month ending April 30, 2024*	Resolved during the month ending April 30, 2024*	Total pending during the month ending on April 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	December 2023			Nil	
2.	January 2024			Nil	
3.	February 2024			Nil	
4.	March 2024			Nil	
5.	April 2024			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024@			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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7. PRIVATE PLACEMENT NON-CONVERTIBLE SECURITIES

A. DATA FOR THE MONTH ENDING APRIL 30, 2024

Sr. No.	Received From	Pending as on the last month ending March 31, 2024	Received during the month ending April 30, 2024*	Resolved during the month ending April 30, 2024*	Total pending during the month ending on April 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	December 2023			Nil	
2.	January 2024			Nil	
3.	February 2024			Nil	
4.	March 2024			Nil	
5.	April 2024			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024@			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

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^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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8. PREFERENTIAL ISSUE

A. DATA FOR THE MONTH ENDING APRIL 30, 2024

Sr. No.	Received From	Pending as on the last month ending March 31, 2024	Received during the month ending April 30, 2024*	Resolved during the month ending April 30, 2024*	Total pending during the month ending on April 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	December 2023			Nil	
2.	January 2024			Nil	
3.	February 2024			Nil	
4.	March 2024			Nil	
5.	April 2024			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024@			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

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9. INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)

A. DATA FOR THE MONTH ENDING APRIL 30, 2024

Sr. No.	Received From	Pending as on the last month ending March 31, 2024	Received during the month ending April 30, 2024*	Resolved during the month ending April 30, 2024*	Total pending during the month ending on April 30, 2024*	Pending complaints for more than one month [^]	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month [^]
1.	December 2023			Nil	
2.	January 2024			Nil	
3.	February 2024			Nil	
4.	March 2024			Nil	
5.	April 2024			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024 [@]			Nil	
3.	2025 [@]			Not Applicable	
4.	2026 [@]			Not Applicable	
5.	2027 [@]			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

[^]Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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10. DELISTING OF EQUITY SHARES

A. DATA FOR THE MONTH ENDING APRIL 30, 2024

Sr. No.	Received From	Pending as on the last month ending February 29, 2024	Received during the month ending March 31, 2024*	Resolved during the month ending March 31, 2024*	Total pending during the month ending on March 31, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
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3.	February 2024			Nil	
4.	March 2024			Nil	
5.	April 2024			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
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Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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11. BUYBACK OF SECURITIES

A. DATA FOR THE MONTH ENDING APRIL 30, 2024

Sr. No.	Received From	Pending as on the last month ending March 31, 2024	Received during the month ending April 30, 2024*	Resolved during the month ending April 30, 2024*	Total pending during the month ending on April 30, 2024*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
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3.	February 2024			Nil	
4.	March 2024			Nil	
5.	April 2024			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024@			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

@Relevant month/ year has not yet completed.

For Ekadrisht Capital Private Limited

Sakshi Manhas
Company Secretary and Compliance Officer
(Membership No.: A70350)

