

MERCHANT BANKER (CATEGORY 1) – SEBI REGISTERED CIN – U66190MH2023PTC401863 SEBI Reg. No. INM000013040

1102, Summit Business Park, Chakala, Andheri Kurla Rd, Andheri E, Mumbai 400093 Tel - +91 8928631037 Email - info@ekadrisht.com Website - www.ekadrisht.com

#### INVESTOR COMPLAINTS DATA

	1. SUBST				S AND TAKEOV	ERS			
A. D Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)		
1.	Directly from Investors	Nil							
2.	SEBI (SCORES)				Nil				
3.	Stock Exchanges (If relevant)				Nil				
4.	Other Sources (If any)				Nil				
5.	Grand Total				Nil				
B. T	REND OF MONTLY DISPOSA	AL OF COM	PLAINTS FO	R 5 (FIVE) M	MONTHS ON ROL	LLING BAS	IS		
Sr. No.	Month	Carried Forward from the previous month	the pa	d during rticular onth	Resolved during the particular month*	HER III DUDGETHE CONTROL STRUCTURES	g complaints for nan one month^		
1.	September, 2023		1		Nil				
2.	October, 2023				Nil				
3.	November 2023				Nil				
4.	December 2023@			No	ot Applicable				
5.	January 2024@				ot Applicable				
C. 1	FREND OF ANNUAL CALEND BASIS Year	Carried	OR DISPOSA  Received du	AL OF COMP			S ON ROLLING		
No.		Forward from previous calendar year	particular c year	alendar	particular calend:		rticular calendai year		
1.	2023@	Nil	Nil		Nil		Nil		
2.	2024@			No	ot Applicable				
	2025 <sup>@</sup>				ot Applicable				
3.	2025								
3. 4.	2026 <sup>@</sup> 2027 <sup>@</sup>				ot Applicable				

#### Notes:



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

<sup>@</sup>Relevant month/ year has not yet completed.

# EKADRISHT C A PITAL

# EKADRISHT CAPITAL PRIVATE LIMITED

MERCHANT BANKER (CATEGORY 1) – SEBI REGISTERED CIN – U66190MH2023PTC401863 SEBI Reg. No. INM000013040

1102, Summit Business Park, Chakala, Andheri Kurla Rd, Andheri E, Mumbai 400093 Tel - +91 8928631037 Email - info@ekadrisht.com Website - www.ekadrisht.com

2. SMALL AND MID-SIZE ENTERPRISES INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO),

A D	ATA FOR THE MONTH END	ING NOVEM	DING OFFER I		· 表现 计记录系统 100	EAST OF STREET	19-19-19-19-19-19-19-19-19-19-19-19-19-1		
A. D Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)		
1.	Directly from Investors	a tria confidence any all incoming any			Nil				
2.	SEBI (SCORES)	Nil							
3.	Stock Exchanges (If relevant)		Nil						
4.	Other Sources (If any)	Nil							
-	Cwand Total	Nil							
B. 7	REND OF MONTLY DISPOS	AL OF COM	PLAINTS FOR	5 (FIVE) MO	NTHS ON ROL	LING BASI	S		
Sr. No.	Month	Carried Forward fro the previous	[[[전환경] 제] [[[[[[[]]]]] [[[[]]] [[[]] [[]] [[	cular th	Resolved during the particular month*		Pending complaints for more than one month^		
1.	September, 2023				Nil				
2.	October, 2023				Nil				
3.	November 2023				Nil				
4.	December 2023@				pplicable				
5.	January 2024 <sup>@</sup>			Not A	pplicable		CUPOLLING		
	FREND OF ANNUAL CALEN	DAR YEAR F	OR DISPOSAL	OF COMPLA	AINTS FOR 5 (F				
Sr. No.	Year	Carried Forward from previous calendar year	Received dur particular ca year	0	desolved during particular calend	lar tl	ing at the end of ne particular alendar year		
1.	2023@				Nil				
2.	2024@				Applicable				
3.	2025 <sup>@</sup>				Applicable				
		Not Applicable							
4.	2026@		Not Applicable  Not Applicable						

#### Notes:



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

# EKADRISHT C A P I T A L

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			3. RIGHTS IS	SSUE			g kalabapat diga kalaba			
A. D	ATA FOR THE MONTH	ENDING NOVE	MBER 30, 2023		er spirit a feet 12k a s	A CALL COLOR				
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	pending complaint Romants s for Time month more ovember month^				
1.	Directly from Investors			Nil						
2.	SEBI (SCORES)			Nil						
3.	Stock Exchanges (If relevant)		Nil							
4.	Other Sources (If any)		Nil							
5.	Grand Total		,	Nil						
В. Т	REND OF MONTLY DIS	POSAL OF CO	MPLAINTS FOR	R 5 (FIVE) MON	THS ON ROL	LING BAS	IS			
Sr. No.	Month	Carried Forward fro the previou month		icular the	olved during e particular month*		complaints for an one month^			
1.	September, 2023			Nil						
2.	October, 2023			Nil						
3.	November 2023			Nil						
4.	December 2023@			Not Appl	icable					
5.	January 2024@			Not Appl	icable					
C. 7	TREND OF ANNUAL CAL BASIS				Color Vincentin					
Sr. No.	Year	Carried Forward from previous calendar year	Received dur particular ca year		lved during th		ing at the end of rticular calendar year			
A 10 1 10 10 10 10 10 10 10 10 10 10 10 1	2023@			Nil						
1.										
				Not Appl	icable					
1. 2. 3.	2024@ 2025@			Not Appl Not Appl						
2.	2024@			Not Appl Not Appl Not Appl	icable					

#### Notes:



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;



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	4.				MENT (QIPS)		NY BARANA		
	ATA FOR THE MONTH ENI				T m . I	D 11	The second second second second		
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)		
1.	Directly from Investors				Nil				
2.	SEBI (SCORES)				Nil				
3.	Stock Exchanges (If relevant)				Nil				
4.	Other Sources (If any)		Nil						
5.	Grand Total	Nil							
В. Т	REND OF MONTLY DISPOS	AL OF COM	IPLAINTS FO	OR 5 (FIVE) N	MONTHS ON RO	DLLING BAS	IS		
Sr. No.	Month	Carried Forward from the previous month	the pa	d during rticular onth	Resolved during the particular month*		Pending complaints for more than one month^		
1.	September, 2023	Nil							
2.	October, 2023				Nil				
3.	November 2023				Nil				
4.	December 2023@			No	ot Applicable				
5.	January 2024 <sup>®</sup>			No	ot Applicable				
C. T	TREND OF ANNUAL CALENI BASIS			AL OF COMP	PLAINTS FOR 5 (				
Sr. No.	Year	Carried Forward from previous calendar year	Received di particular yea	calendar	Resolved during particular calend		ing at the end of rticular calenda year		
	THE RESERVE OF THE PARTY OF THE		-		Nil				
1.	2023@								
	2023 <sup>@</sup> 2024 <sup>@</sup>			No	ot Applicable				
2.					ot Applicable ot Applicable				
1. 2. 3. 4.	2024@			No					

#### Notes:



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;



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VALUE YOUR	5. PUBLIC ISSUE	OF NON-CO	NVERTIBLE RI	EDEEMABLE	PREFEREN	CE SHARES			
A. D	ATA FOR THE MONTH ENI	DING NOVEM	IBER 30, 2023	1000					
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)		
1.	Directly from Investors				Nil				
2.	SEBI (SCORES)		Nil						
3.	Stock Exchanges (If relevant)				Nil				
4.	Other Sources (If any)		Nil						
5.	Grand Total				Nil	The state of the s			
В. Т	REND OF MONTLY DISPOS	SAL OF COM	PLAINTS FOR 5	(FIVE) MON	NTHS ON RO	LLING BAS	IS		
Sr. No.	Month	Carried Forward from the previous month	Received d the partic mont	eular t	esolved during the particular month*		g complaints for han one month^		
1.	September, 2023				Vil				
2.	October, 2023				Vil				
3.	November 2023				Vil				
4.	December 2023@				oplicable				
5.	January 2024@	41			oplicable				
	REND OF ANNUAL CALEN	DAR YEAR F	OR DISPOSAL O			A STATE OF THE STA	S ON ROLLING		
Sr. No.	Year	Carried Forward from previous	Received duri particular cal year		solved during articular calend	lar t	ding at the end of the particular calendar year		
		calendar year			1 以 158 年	10120 F16 61 1035 1136 11	在主义的主义的基本专业的主义 2003年第1月第2日的主义		
1.	2023 <sup>@</sup>		Entransition Services	1	n de 166 a belada beset de Santa de 166 a de 166 a de 166 a de Vil				
1. 2.	2023 <sup>@</sup> 2024 <sup>@</sup>			Not A <sub>1</sub>	Nil pplicable pplicable				

#### Notes:

2026@

2027@



Not Applicable

Not Applicable

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

<sup>@</sup>Relevant month/ year has not yet completed.



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A. D	DATA FOR THE MONTH END	A TABLE TO THE PROPERTY OF THE	SISSUE OF DEA	BT SECURI	TIES	LEAS TO BOILD	9.8 (3.1) (8.6) (8.1)			
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	during the month	Pending complaints for more than one month^	Average Resolution Time (In days)			
1.	Directly from Investors				Nil					
2.	SEBI (SCORES)		Nil							
3.	Stock Exchanges (If relevant)				Nil					
4.	Other Sources (If any)				Nil					
5.	Grand Total				Nil					
B. T	REND OF MONTLY DISPOSA	AL OF COMP	LAINTS FOR 5	(FIVE) MO	NTHS ON ROI	LING BASIS	A STEEL STATE OF THE STATE OF T			
Sr. No.	Month	Carried Received during Forward the particular month month month month		the particular		more than one month^				
1.	September, 2023				Nil					
2.	October, 2023				Nil					
3.	November 2023				Nil					
4.	December 2023@			Not A	Applicable					
5.	January 2024@			Not A	Applicable					
	REND OF ANNUAL CALEND	AR YEAR FO	R DISPOSAL O			IVE) YEARS	ON ROLLING			
Sr. No.	Year	Carried Forward from previous calendar year	Received during particular calcular calcular year		esolved during t articular calend	ar the	g at the end o particular endar year			
1.	2023@	<b>V</b>	Demonstration of the second se	TWO THE PERSON NAMED IN COLUMN TWO	Nil	CHES ROLL STATE OF THE STATE OF				
2.	2024@				applicable					
3.	2025@				applicable					
4.	2026@				pplicable					
5	2027@			7.007	-PP. Todore					

5.
Notes:

@Relevant month/ year has not yet completed.

2027@



Not Applicable

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;



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Sr. No.	Received From	Pending as on the	Received during the	Resolved during the	Total pending	Pending complaints	Average Resolution	
		last month ending ending October Novem 31, 2023 30, 202		month ending November 30, 2023*	during the month ending on November 30, 2023#	for more than one month^	Time (In days)	
1.	Directly from Investors				Nil			
2.	SEBI (SCORES)				Nil			
3.	Stock Exchanges (If relevant)	Nil						
4.	Other Sources (If any)	Nil						
5.	Grand Total	Nil						
B. T	REND OF MONTLY DISPOS	AL OF COM	PLAINTS FO	R 5 (FIVE) M	IONTHS ON RO	LLING BAS	IS	
Sr.	Month	Carried	Received		Resolved during		complaints for	
No.		Forward from the previous month	the par mo		the particular month*	more th	ore than one month^	
1.	September, 2023				Nil			
2.	October, 2023				Nil			
3.	November 2023				Nil			
4.	December 2023@			Not	Applicable			
5.	January 2024@				Applicable			
C. T	FREND OF ANNUAL CALEND BASIS	OAR YEAR FO	OR DISPOSA			FIVE) YEAR	S ON ROLLING	
		Carried	Received du	ring the F	Resolved during t		ng at the end of	
Sr. No.	Year	Forward from previous	particular c year	alendar p	oarticular calend	ar the par	rticular calendar year	
Sr.	Year	Forward from previous calendar	particular c	alendar p		ar the par	rticular calendar year	
Sr. No.		Forward from previous	particular c	alendar p	particular calend	ar the par		
Sr. No.	2023®	Forward from previous calendar	particular c	alendar p	particular calend	ar the par		
Sr. No.	2023 <sup>@</sup> 2024 <sup>@</sup>	Forward from previous calendar	particular c	alendar p	Nil Applicable	ar the par		
Sr. No.	2023®	Forward from previous calendar	particular c	Alendar p	particular calend	ar the par		

#### Notes:



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;



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	THE TOP TWO MONTH END		PREFERENTIA	L ISSUE						
A. I Sr. No.	OATA FOR THE MONTH END Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	during the month	Pending complaints for more than one month^	Average Resolution Time (In days)			
1.	Directly from Investors				Nil					
2.	SEBI (SCORES)		Nil							
3.	Stock Exchanges (If relevant)				Nil					
4.	Other Sources (If any)				Nil					
5.	Grand Total		Nil							
В. 7	REND OF MONTLY DISPOS	AL OF COMP	LAINTS FOR 5	(FIVE) MC	ONTHS ON ROL	LING BASIS				
Sr. No.	Month	Carried Forward from the previous month	Received du the particu month		esolved during the particular month*		Pending complaints for more than one month^			
1.	September, 2023		Land to the same of the same o		Nil					
2.	October, 2023				Nil					
3.	November 2023				Nil					
4.	December 2023@			Not A	Applicable					
5.	January 2024@			Not A	Applicable					
	FREND OF ANNUAL CALENI BASIS Year	Carried Forward from previous	R DISPOSAL C Received durin particular cale year	g the Re	AINTS FOR 5 (FI	Pending	at the end of cular calendar year			
1	2023@	calendar year			Nil					
1. 2.	2024@	-		Not A						
3.	2025@	-			Applicable					
4.	2026@	-			Applicable					
		-			Applicable					
5.	2027@			Not A	Applicable					

#### Notes:



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;



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A. D	ATA FOR THE MONTH END	ING NOVEN	IBER 30, 2023	D 1 1	Total	Pending	Average			
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30 2023*	pending during the month ending on November 30, 2023#	complaints for more than one month^	Resolution Time (In days)			
1.	Directly from Investors	Nil								
2.	SEBI (SCORES)		Nil							
3.	Stock Exchanges (If relevant)				Nil					
4.	Other Sources (If any)		Nil							
5.	Grand Total				Nil	INC DACIC				
В. Т	REND OF MONTLY DISPOS	SAL OF COM	PLAINTS FO Received	R 5 (FIVE) MO	esolved during	Danding and	mplaints for			
Sr. No.	Month	Carried Forward from the previous month	the par	ticular th	he particular month*	more than	ne month^			
1.	September, 2023				Nil					
2.	October, 2023				Nil					
3.	November 2023				Nil					
4.	December 2023@				pplicable					
5.	January 2024@			Not A	pplicable					
	TREND OF ANNUAL CALEN	DAR YEAR F	OR DISPOSA	L OF COMPLA	AINTS FOR 5 (FIV					
Sr. No.	Year	Carried Forward from previous calendar year	Received du particular c year	alendar pa	solved during the rticular calendar	the partic	at the end of Jular calendar Jear			
1.	2023@				Nil					
	2024@			Not A	Applicable					
2.	2024		Not Applicable							
3.	2025@			Not A	Applicable Applicable					

# 5. *Notes:*

2027@



Not Applicable

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

<sup>@</sup>Relevant month/ year has not yet completed.



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1000			ISTING OF EQ	UITY SHARE	S				
A. D Sr. No.	PATA FOR THE MONTH END Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)		
1.	Directly from Investors	Decimal Control of the Control of th		N	Vil				
2.	SEBI (SCORES)		Nil						
3.	Stock Exchanges (If relevant)			N	lil				
4.	Other Sources (If any)		Nil						
5.	Grand Total	Nil							
В. Т	REND OF MONTLY DISPOS	AL OF COMI	PLAINTS FOR	5 (FIVE) MON	NTHS ON ROL	LING BASIS			
Sr. No.	Month	Carried Forward from the previous month	Received d the partic month	ular th	solved during e particular month*		omplaints for one month^		
1.	September, 2023			N	Vil				
2.	October, 2023			N	Nil				
3.	November 2023			N	Vil				
4.	December 2023@			Not Ap	plicable				
5.	January 2024 <sup>®</sup>				pplicable				
C. 1	TREND OF ANNUAL CALENI BASIS	Lagrage and the		OF COMPLAI	NTS FOR 5 (F		ON ROLLING		
Sr. No.	Year	Carried Forward from previous calendar year	Received durir particular cale year		olved during th		g at the end of cular calendar year		
1.	2023@				Nil				
2.	2024@			Not Ar	pplicable				
3.	2025@				pplicable				
4.	2026 <sup>@</sup>				pplicable				
5.	2027@				plicable				

#### Notes:



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;



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11. BUYBACK OF SECURITIES A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2023 Total Pending **Received From** Pending Received Resolved Average pending complaints Resolution as on the during the during the No. last month month ending month ending during the for more Time (In November 30, than one ending November 30, month days) October 2023\* 2023\* ending on month^ 31, 2023 November 30, 2023# Directly from Investors Nil Nil SEBI (SCORES) 3. Stock Exchanges (If relevant) Nil Nil 4. Other Sources (If any) **Grand Total** Nil 5. TREND OF MONTLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS B. Month Carried Received during Resolved during Pending complaints for Sr. No. Forward the particular the particular more than one month^ from the month month\* previous month September, 2023 Nil 1. 2. October, 2023 Nil November 2023 Nil 3. 4. December 2023@ Not Applicable 5. January 2024@ Not Applicable TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING C. Sr. Year Carried Received during the Resolved during the Pending at the end of Forward particular calendar No. particular calendar the particular calendar year from year previous calendar year 2023@ 1. Nil 2. 2024@ Not Applicable 3. 2025@ Not Applicable

# 5. Notes:

4.

Not Applicable

Not Applicable

@Relevant month/ year has not yet completed.

2026@

2027<sup>@</sup>

For Ekadrisht Capital Private Limited

Sakshi Manhas

Company Secretary and Comp (Membership No.: A70350)

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;