



EKADRISHT CAPITAL PRIVATE LIMITED

MERCHANT BANKER (CATEGORY 1) – SEBI REGISTERED

CIN – U66190MH2023PTC401863 SEBI Reg. No. INM000013040

1102, Summit Business Park, Chakala, Andheri Kurla Rd, Andheri E, Mumbai 400093

Tel - +91 8928631037 Email - info@ekadrisht.com Website - www.ekadrisht.com

INVESTOR COMPLAINTS DATA

1. SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2023							
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	September, 2023				Nil		
2.	October, 2023				Nil		
3.	November 2023				Nil		
4.	December 2023@				Not Applicable		
5.	January 2024@				Not Applicable		
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023@	Nil	Nil	Nil	Nil		
2.	2024@				Not Applicable		
3.	2025@				Not Applicable		
4.	2026@				Not Applicable		
5.	2027@				Not Applicable		

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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2. SMALL AND MID-SIZE ENTERPRISES INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2023							
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
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4.	December 2023@			Not Applicable			
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C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year		
1.	2023@			Nil			
2.	2024@			Not Applicable			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
5.	2027@			Not Applicable			

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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3. RIGHTS ISSUE

A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2023							
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
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2.	October, 2023			Nil			
3.	November 2023			Nil			
4.	December 2023@			Not Applicable			
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C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023@			Nil			
2.	2024@			Not Applicable			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
5.	2027@			Not Applicable			

Notes:

*Inclusive of complaints of previous months resolved in the current month;

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4. QUALIFIED INSTITUTIONS PLACEMENT (QIPS)							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2023							
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	September, 2023			Nil			
2.	October, 2023			Nil			
3.	November 2023			Nil			
4.	December 2023@			Not Applicable			
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C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023@			Nil			
2.	2024@			Not Applicable			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
5.	2027@			Not Applicable			

Notes:

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5. PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2023							
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
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2.	October, 2023				Nil		
3.	November 2023				Nil		
4.	December 2023@				Not Applicable		
5.	January 2024@				Not Applicable		
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
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2.	2024@				Not Applicable		
3.	2025@				Not Applicable		
4.	2026@				Not Applicable		
5.	2027@				Not Applicable		

Notes:

*Inclusive of complaints of previous months resolved in the current month;

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6. PUBLIC ISSUE OF DEBT SECURITIES							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2023							
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	September, 2023			Nil			
2.	October, 2023			Nil			
3.	November 2023			Nil			
4.	December 2023@			Not Applicable			
5.	January 2024@			Not Applicable			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023@			Nil			
2.	2024@			Not Applicable			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
5.	2027@			Not Applicable			

Notes:

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7. PRIVATE PLACEMENT NON-CONVERTIBLE SECURITIES

A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2023

Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	September, 2023			Nil	
2.	October, 2023			Nil	
3.	November 2023			Nil	
4.	December 2023@			Not Applicable	
5.	January 2024@			Not Applicable	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year
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2.	2024@			Not Applicable	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
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Notes:

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8. PREFERENTIAL ISSUE

A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2023

Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	September, 2023			Nil	
2.	October, 2023			Nil	
3.	November 2023			Nil	
4.	December 2023@			Not Applicable	
5.	January 2024@			Not Applicable	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year
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Notes:

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9. INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2023							
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
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3.	November 2023				Nil		
4.	December 2023@				Not Applicable		
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Notes:

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10. DELISTING OF EQUITY SHARES							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2023							
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
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3.	November 2023				Nil		
4.	December 2023@				Not Applicable		
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C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
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Notes:

*Inclusive of complaints of previous months resolved in the current month;

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11. BUYBACK OF SECURITIES							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2023							
Sr. No.	Received From	Pending as on the last month ending October 31, 2023	Received during the month ending November 30, 2023*	Resolved during the month ending November 30, 2023*	Total pending during the month ending on November 30, 2023 [#]	Pending complaints for more than one month [^]	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month [^]		
1.	September, 2023			Nil			
2.	October, 2023			Nil			
3.	November 2023			Nil			
4.	December 2023 [@]			Not Applicable			
5.	January 2024 [@]			Not Applicable			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
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2.	2024 [@]			Not Applicable			
3.	2025 [@]			Not Applicable			
4.	2026 [@]			Not Applicable			
5.	2027 [@]			Not Applicable			

Notes:

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#Inclusive of complaints pending as on the last day of the month;

[^]Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

[@]Relevant month/ year has not yet completed.

For Ekadrisht Capital Private Limited

Sakshi Manhas
Company Secretary and Compliance Officer
(Membership No.: A70350)

